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Halal Affairs, the Central Islamic Council of Thailand by Committee for Sefeguarding Impartiality in the first meeting has resolved to set up Requirements on Regulations and Conditions on Audit and Halal Certification for audit and certification of Halal products of Halal Affairs, the Central Islamic Council of Thailand.

## 1. Scope

This document defines definition, qualifications of the applicants, conditions for certified person, audit procedure for certification, Surveillance Audit, re-certification, special audit, increase/reduction of certification scope, revoking of certification, termination of certification, appeal, complaint, confidentiality, certification mark display and others relating to certification of Halal slaughtering and Halal products exported to Kingdom of Saudi Arabia, Sultanate of Oman, Kingdom of Bahrain, and Others Trading Countries and complies with UAE.S GSO standard and GCC Approval Halal Standard, Standard/Legal/Regulations/ Documents of Tranding Countries and other countries standard that’s relevant conducted by Halal Affairs, the Central Islamic Council of Thailand.

## 2. Definition

Definitions of terms in this document shall be in line with those in Regulation of the Central Islamic Council of Thailand on Halal Affairs Management of BE. 2558 and as follows:

2.1 Halal Product Standard Certification means certification granted to slaughtering, eviscerated parts and Halal product production process according to Halal products - Part one: General Requirements for Halal Food (UAES 2055-1) Standard, Animal Slaughtering Requirements According to Islamic Rules (UAES 993) Standard, Labelling of Prepackaged Food Stuffs (UAE.S GSO 9) Standard, GCC Approval Halal Standard, Regulation of the Central Islamic Council of Thailand on Halal Affairs Management of BE. 2568, Requirements/Reguations on Halal Certification Audit for Slaughterhouse and Eviscerated parts of BE. 2568, and relevant regulations defined by the Central Islamic Council of Thailand.

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- 2.2 The applicant means an entrepreneur who files Halal certification request.
- 2.3 Certified Person (Certificate Owner / Certified Client / Supplier) means the applicant who has passed the audit and been granted Halal certification from Halal Affairs, the Central Islamic Council of Thailand.
- 2.4 Committee for Sefeguarding Impartiality means Committee for Sefeguarding Impartiality of Halal Affairs, the Central Islamic Council of Thailand appointed by Secretary, the Central Islamic Council of Thailand.
- 2.5 Halal Affairs Sub-committee/Decision Making Committee means the committee of Halal Affairs that appointed by The Secretary General of the Central Islamic Council of Thailand with duty to consider granting permit, decrease scope and revoke the certification of slaughterhouse and Eviscerated, and Processing of Halal Products. Consist with minimum 3 people and at least one of them must be Islamic Expert.
- 2.6 Committee means the Central Islamic Council of Thailand with duty to consider granting permit for using Halal Certification Mark to Halal Slaughterhouses and Eviscerated Parts, and Processing of Halal products.
- 2.7 Surveillance Committee means Halal Surveillance with duty to monitor or investigate truth about compliance with regulations and conditions of Halal Certification Mark use of products receiving Halal certification in distributed area in accordance with the Regulation of the Central Islamic Council of Thailand on Halal Affairs Management of BE. 2568.
- 2.8 Halal Lead Auditor Team means a person leading Halal audit having qualifications as defined by Halal Affairs.
- 2.9 Halal Auditor means a person having qualifications as defined by Halal Affairs with duty to audit.
- 2.10 Halal Auditor Team means a team who audits Halal product standard as defiend by Halal Affairs.
- 2.11 Audit means systematic and independent process which is documentized to get proof of audit and to assess if the audit criteria are met.

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2.12 Stage 1 Audit means primary screening and document checking to check readiness of an organization in terms of document, understanding in Halal regulation based on Halal standard of UAE.S and GCC Approval Halal Standard or other countries standards that's relevant and application to consider appointment for the Stage 2 Audit...

2.13 Stage 2 Audit means inspection for certification made at the place of business to inspect compliance with the regulations.

2.14 Surveillance audit means follow-up to maintain the system before due to re-certification audit is complete to ensure that the system is still maintained as defined by the regulation.

2.15 Re-certification audit means audit after being certified when the certification expires to review the system if the certified person is complying with the standard of UAE.S GSO and GCC Approval Halal Standard or other countries standards that's relevant which is still effective.

2.16 Special Audit means audit for specific cases which may be done for the whole or part of the system.

2.17 Non-conformity means failure which shall be defined by the regulation.

2.18 Major non-conformity means non-conformity in the case where there is contamination of forbidden substances or failure to comply with standard in essential part which creates risk to production process.

2.19 Minor non-conformity means non-conformity which is not major one and has low risk to product or production process.

2.20 Observation means item that is not non-conformity but if ignored may possibly lead to non-conformity.

2.21 Certificate means Halal Product Certificate issued by the Provincial Islamic Committee to entrepreneurs who comply with Halal Standard.

2.22 Halal Certification Mark means important document to use halal certification mark of the Central Islamic Council of Thailand.

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2.23 Appeal Committee means a group of people appointed by the Committee for Impartiality to consider appeals.

2.24 Appeal means disagreement with any consideration result or measurement which the Committee for Sefeguarding Impartiality /Committee/the Sub-Committee has made judgment or problems in the consideration result or measurement which needs the Committee for Sefeguarding Impartiality /Committee/the Sub-Committee to review.

2.25 Complaints means complaints about non-conformity in operation of the Committee for Sefeguarding Impartiality /Committee/the Sub-Committee the Appeal Committee Halal Auditor or officer of Halal Affairs and complaints against the certified person and about quality of Halal product according to standard of UAE.S and GCC Approval Halal Standard or other countries standards that's relevant.

2.26 Remote assessment means the convenience of inspection of the Halal Affairs Department from a place other than personal inspection at the establishment/place to be inspected.

2.27 Unusual circumstances mean events refer to situations that are out of control, force majeure or natural disasters, including necessities or emergencies that cannot be forced or controlled. Whether it occurs naturally or humanly, and affects the public, such as floods, earthquakes, epidemics of contagious diseases, wars, strikes, riots, terrorism, other disasters as well as other incidents that could pose a security threat.

2.28 Accreditation Symbol means the accreditation symbol of an accrediting body that has accredited Halal Affairs Department, the Central Islamic Council of Thailand

### 3. Qualifications of Applicant

An applicant must have qualifications as defined by the Regulation of the Central Islamic Council of Thailand on Halal Affairs Management of BE. 2568 and as follows:

- (1) Being a juristic person rightly incorporated or normal person.
- (2) Being an owner or person assigned by the owner or right holder to act on his behalf.

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- (3) Being a juristic person who is willing to request the certification and to comply with rules and regulations defined by Halal Affairs.
- (4) UAE.S Halal standard products and GCC Approval Halal Standard or other countries standards that's relevent have been implemented, including food safety management systems standard such as GHP, HACCP, ISO 22000 etc.
- (5) Not being a person who is revoked certification by Halal Affairs except it is longer than 1 year starting from the certification revoking day.
- (6) Must allow the accreditation body to participate in the observation for the Halal audit from Halal Affairs Department at the applicant's entrepreneur under the scope from the Halal Affairs Department to obtain the system certification or have been always certified when selected by the Halal Affairs Department and certification bodies.
- (7) The entrepreneur who submits the application is responsible for the cost of conducting laboratory analysis. Sampling cases are part of the audit and certification. This is based on the choice of analytical laboratory services registered according to the announcement of the Halal Affairs Department.

When apply the application form must be apply the application form of Halal products (F-CICOT-01-04) along with current evidence and documents which includes food safety management system documents to the Halal Affairs department, in which one application form will be used to submit a request for certification for a single production location only unable to request multi-site certification

Halal Affairs conducts the application review, competency and raediness in certification by considering the scope certification in accordance with appendix B, location, Audit time spent, language, safety management system, impartiality risk, and all relevant documents conformed to consider for certification. When the Halal Affairs Department accepting the application form and sends an invoice to the applicant to pay the fee, if there are any details that need to be revised, the applicant will be notified. And deliver documents / additional information within 7 business days after the request is received.

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Halal Affairs department can reject or cancel the application in case the applicants use the standard that Halal Affairs department without ability or the applicant is unable to proceed in accordance with the rules and conditions that set up.

In this regard, the Halal Affairs Department applications for certification of cosmetic products using water repellent ingredients will not be accepted even if the composition or ingredients of this product are made from Halal materials. But when it is made into a product, it is used as a cosmetic that blocks water from reaching the skin while making ablution (Wudhu), therefore it will not accept certification applications and does not endorse products that fall into the aforementioned scope.

#### 4. Audit Program

In audit certification process, the audit program shall comprise the following methods.

- (1) Inspection for the first certification (2 steps).
- (2) Surveillance Audit to retain the system (2 times, shall surveillance once a year).
- (3) Re-certification audit (in 3<sup>rd</sup> year of certification).

Details of the audit shall be as defined in No. 5-7.

However, in defining or reviewing of audit program, audited scope and plan, Halal Affairs shall consider these following topics.

- 1) Scope and complexity of management system according to Appendix B
- 2) Product and process.
- 3) Risk level according to Appendix C
- 4) Certification form submitted
- 5) Organization's size.
- 6) Language in customer organization, audit, and report.
- 7) Result of the Stage 1 Audit or any inspection done previously.
- 8) Result of Surveillance Audit.
- 9) Level of effectiveness of the management system shown.
- 10) Eligibility for sampling.

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- 11) Customer complaints.
- 12) Complaints about customers Halal Affairs receives.
- 13) Change in place of business, products, process, or management system.
- 14) Change in regulation of the certifying body.
- 15) Change in laws.
- 16) Information from the previous audit.
- 17) A report on the results of an audit of food safety management systems from another certification body.

## 5. Audit Procedure for Stage 1 Audit

### 5.1 General

- 5.1.1 The audit shall be conducted for applicants located within the Kingdom. The Halal Affairs department will evaluate suitability, personnel competencies, and/or the relevant bodies that are related to Halal Audit and Certification to ensure conformity regulations.
- 5.1.2 Period of audit shall depend on number of staff and diversity of products in the business of the applicants. In case the audit cannot be done during working time, it should be done from records of the applicants in normal hours.
- 5.1.3 The audit for Stage 1 Audit consists of 3 steps as follows:
  - (1) Appointment of Halal Auditor Team
  - (2) Stage 1 Audit
  - (3) Stage 2 Audit

### 5.2 Appointment for Halal Auditor Team

- 5.2.1 Halal Affairs shall appoint Halal Auditor Team to check compliance of the applicant.
- 5.2.2 Halal Auditor Team shall be officially appointed and consisted of Halal Lead Auditor Team, Halal Auditor and Halal Islamic affairs Experts in at appropriate amount. There

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may be technical experts too. The duty of the team must be clearly defined and informed the applicant.

5.2.3 Appointment of Halal Auditor Team shall be on these following principles.

5.2.3.1 Having qualifications as defined in the Operating Procedure Manual

Selection, Appointment and Competency Assessment Halal Auditor and Operators involved in the inspection of halal products in accordance with GAC standards (P-CICOT-03) and sign in Employment Contract and Agreement.

5.2.3.2 Having knowledge and understanding in regulations of Halal certification and process for the certification.

5.2.3.3 Having knowledge in relevant audit procedures and documents.

5.2.3.4 Having technical ability in the activities to be certified according to Halal Auditor List of Halal Affairs.

5.2.3.5 Having ability to communicate.

5.2.3.6 Being free from benefits which may cause him not to be impartial, untrustable and unequal and


(1) Halal Auditor must have never given advice or acted as Halal Auditor to the applicant within the past 2 years which may affect the certification process and judgment.

(2) Halal Auditor must not be shareholder in the organization of the applicant.

(3) Halal Auditor must not have any commercial and/or financial pressure.

5.2.4 Duties of Halal Auditor Team are as follows:

1) Inspect and review structure, policy, procedures, operating procedure manual, record and relevant documents to Halal products to be certified or

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which has been certified of the applicant or certified person and consider if it is in line with all regulations relating to scope of certification.

- 2) Check if the entrepreneur has prepared procedures and operating procedure manual and applied them and retained the system effectively which is basic of credibility in the management system of the applicant or certified person.
- 3) Communicate to the applicant or certified person of audit result and do relevant actions for non-conformity between policy, objective, goal and performance of the applicant or certified person.

5.2.5 Before the audit, if Confidentiality Agreement and Non-benefit sharing declaration have not been signed, the Halal Auditor Team and expert (if any) shall sign them.

5.2.6 Names of the Halal Auditor Team and expert (if any) must be informed to the applicant to approve before the audit.

### 5.3 Stage 1 Audit

5.3.1 This Audit's objectives are

- 1) To evaluate evidences relating to Halal product production.
- 2) To assess place, place of business and readiness for the Stage 2 Audit.
- 3) To review status and understanding of the applicant in terms of Halal product standard regulations.
- 4) To confirm scope and area of certification and gather information necessary for Halal product standard regulation compliance, production process, location and number of place of business to be certified, relevant laws and regulations and conditions as defined by Islamic law such as raw material purchase process, cleaning and slaughtering processes.
- 5) To assess that the applicant has basic PRPs, product safety system (hazard identification and control measurement), Hazard Analysis and Critical Control Points (HACCP) compliance with relevant announcements of the Central Islamic Council of Thailand and relevant food safety laws, product safety management

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system which is in line with policy of the place of business, readiness for the Stage 2 Audit.

- 6) To assess accuracy review of the program improved according to the product safety management system.
- 7) To review documents of the management system and evaluate internal and external communication including customers, sellers and stakeholders and necessary documents and evaluate knowledge level of the operators.
- 8) To review allocation of resources related to Halal products and agree with the applicant on details of the Stage 2 Audit.
- 9) To use information about information in the management system of the applicant and action of the place of business which may have significant impacts to make plan for the Stage 2 Audit.
- 10) To evaluate that the applicant has prepared plan and conducted internal audit and management review and is ready for the Stage 2 Audit.
- 11) To discuss with the applicant about period of correcting issues found in the inspection to make appointment for the Stage 2 Audit.
- 12) To review sub-contracting unit control documents as defined by Halal regulation and product safety management system.

For the Stage 1 Audit, Halal Affairs shall do at place of business or manufactory and shall report the Stage 1 Audit findings report in writing to the applicant. The report shall cover non-conformity which may possibly be found in the Stage 2 Audit.

In case the applicant gets the Stage 1 Audit and finds the number of issues detected which are non-conformity which may be found in the Stage 2 Audit causing the Stage 2 Audit to take too long, Halal Affairs may conduct the Stage 1 Audit again at the place of business for one more time. In case the applicant requests and if the Stage 2 Audit more than 6 months after the Stage 1 Audit, Halal Affairs may arrange the


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Stage 1 Audit again at the place of business or evaluate the documents before the Stage 2 Audit.

#### 5.4 Stage 2 Audit

The certification audit's objectives are to evaluate compliance and effectiveness of application of Halal standard. The Stage 2 Audit shall cover the following topics.

- 1) All information and evidence of compliance with Halal standard of UAE.S GSO, GCC Approval Halal Standard or standards of other countries all related
- 2) Monitoring, measuring, reporting or reviewing competency comparing with objectives and goals of the standards.
- 3) Management system and competency whether they comply with laws, rules and regulations of the Central Islamic Council of Thailand.
- 4) Control of production process control.
- 5) Applied legal restrictions, duty and ability of personnel, action, procedures, performance and summary and findings from internal audit.
- 6) Applicable Legal Requirements, duty, management responsibilities, applicant's policy, operators' personnel knowledge and ability, operation, operation procedures, and performance data.
- 7) The relevance of standard requirements, policies, objectives. competency goals, legal requirements, responsibility, operators' personnel knowledge and ability, operation, operation procedures, competency data, audit findings, and internal audit conclusions.
- 8) Food Handling in compliance with juristic of Islam accordance with Regulation of the Central Islamic Council of Thailand on the Management of Halal Affairs and Halal standard of UAE.S GSO, GCC Approval Halal Standard or standards of other countries all related such as
  - Operation relating to products safety.
  - Manufacturing process, production control including the release of products by the personnel who possess adequate knowledge relating to food safety.

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- The release of food products shall be supported by clear evidence verifying that such products are safe for consumption and conform to the products requirements.

- All records the relating to production processes, production control, inspection of raw materials and finished products, and other relevant documents shall constitute evidence of actual operations conducted.

#### 5.4.1 Opening meeting

Attending opening meeting with top management of the applicant. Objectives of the opening meeting are as follows:

- To introduce Halal Auditor Team to top management of organization.
- To review scope and objective of the audit.
- To explain pattern and method for the audit.
- To create relationship in communication between the Halal Auditor and auditee party.
- To confirm readiness of resources and facilities necessary for the Halal Auditor Team and update status of the documents.
- To confirm date and time to arrange closing meeting.
- To create understanding and solve any doubt and unclear points in setting up the audit date and other relevant points.

#### 5.4.2 Conducting the audit

The audit is consisted of interview, documents check, and all the documents related, report of the result of Halal Laboratory analysis, activity observation, condition of audited place and sampling, recording items which may lead to non-conformity. The audit may inspect items not written in the checklist. Data retrieved from interview must be backed up by other independent sources such as from observing, measuring and recording. During the audit, Halal Lead Auditor Team may change schedule as appropriate with consent of the audited person to create effectiveness and to achieve objectives of the audit.

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Random sampling of products is made to detect contamination of forbidden substances as defined by the Rule of Islam in case there is any suspicion in major part such as in case of Surveillance Audit for production process having major non-conformity and complaints from customers, and to ensure traceability. Samples of product shall be a representative of the product batch. In this regards, random sampling would be verified for cotamination with prohibited substances. The Halal Auditor Team would collect the sampling in appropriate amount from manufacturing place/provide services in accordance with statistically reliable sampling plan for conducting the necessary inspection and tests. Samples of product shall be collected, coded, identified and recorded. Name of laboratory shall be informed to test/inspect qualification to use data for consideration. The laboratory must be certified by ISO/IEC 17025 standard and accepted by Halal Affairs. The inspection tests shall be considered as supporting documents.

In case of the Stage 2 Audit finding a number of major non-conformities showing that the audited person has not applied Halal standard of UEAS GSO, GCC Approval Halal Standard or standards of other countries Related in a sufficiently effective way and the auditee desires to terminate the audit to conduct audit of the entire system in the future, Halal Affairs can grant this desire accordingly.

#### 5.4.3 Recording of findings

All the findings must be recorded and after the audit the Halal Auditor Team must meet to analyze collected data and evidence in the Stage 1 Audit and Stage 2 Audit to review and summarize the findings and define topics which are non-conformity. Report of non-conformity must be concise having concrete supporting evidence and reference to the regulations. The non-conformity shall be recorded in Halal corrective and preventive actions notice.

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For the Stage 1 Audit, the Halal Auditor Team shall report findings from audit without identifying non-conformity.

#### 5.4.4 Closing Meeting

After the audit is complete, the Halal Auditor Team shall conduct closing meeting to report the results and findings from the Stage 1 Audit or non-conformity found in the Stage 2 Audit (if any) to top management of the audited person to correctly create understanding in the results. The management shall sign to acknowledge the findings or non-conformity (if any) and make a copy of the findings from Stage 1 Audit or Halal corrective and preventive actions notice (if any).

In case of finding non-conformity, the Halal Auditor Team shall inform the applicant to prepare direction for corrective and preventive actions for the non-conformity to present to Halal Affairs within 30 days starting from the day of issuing non-conformity report for minor non-conformity. If the applicant cannot do it within 30 days starting from the day of issuing non-conformity report, Halal Affairs may give warning and/or present to the Sub-Committee to consider auditing the whole system as a case may be.

#### 5.4.5 Making the audit report for the applicant

After the audit is complete, the Halal Lead Auditor Team / Halal Auditor shall prepare audit report.

Documents to submit to the applicant are as follows:

- Slaughterhouse and Eviscerated parts Certification Audit Report and/or Halal Product Production Process Certification Evaluation Form
- Stage 1 Audit Findings Report or Halal corrective and preventive actions notice (if any)
- Record of product sample collection (if any)

#### 5.4.6 In case no non-conformity is found or only minor non-conformity is found, the Halal Auditor Team can summarize a report presenting to the Screening

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Committee to grant certification after the Halal Auditor Team considers the corrective and preventive actions proposed by the applicant to be acceptable.

5.4.7. In case of finding a major non-conformity or over, the Halal Auditor Team shall not prepare a summary report to the Sub-Committee but shall do as follows:

- (1) Set up schedule with the audited party for Surveillance Audit which must be within 30 days starting from the day of issuing the non-conformity report. If the period exceeds 30 days, the application for certification shall be cancelled or shall be as the Committee determines.

In case corrective action for the non-conformity is within 30 days but there are huge changes of management system or documents relating to the audited system and the applicant desires that the whole system is audited and such non-conformity is cancelled, Halal Affairs can take action accordingly.

- (2) For Surveillance Audit of major non-conformity, the Halal Auditor Team shall audit only the non-conformity but may do random check with any relevant units. The Surveillance Audit shall be done with every item of major and minor non-conformity. If the correction is found to be ineffective, the Halal Auditor Team shall adjust the minor level to major level.

In case corrective action of the non-conformity is effective but other non-compliance with Halal standard of UAE.S GSO or GCC Approval Halal Standard or standards of other countries related is found, the Halal Auditor Team shall prepare list of the found non-conformity categorizing it according to the non-conformity criteria. In case of major non-conformity, correction and Surveillance Audit shall be done within 30 days starting from the day of issuing non-conformity report. If it exceeds such period, the whole system must be re-audited. However, if the newly found non-

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conformity is minor, the Halal Auditor Team can prepare report presenting to the Sub-Committee to grant certification after the Halal Auditor Team has considered corrective and protective actions to be acceptable.

#### 5.4.8. Consideration of contamination test result by Juristic of Islam by laboratory

1) Halal Auditor Team considers the result and informs the contamination test result in Halal product by laboratory to the applicant with following documents.

- (1) Letter to inform the lab result
- (2) Lab result report
- (3) Non-conformity report (if any)

2) If no contamination is found, the Halal Auditor Team shall prepare summary report presenting to the Sub-Committee to certify.

3) If contamination of forbidden substance is found, the Halal Auditor Team shall prepare report presenting to the Sub-Committee to reject the application and inform the applicant.

## 6. Surveillance Audit

6.1 After the applicant passes the audit and gets certification, Halal Affairs shall regularly do the Surveillance Audit within 1<sup>st</sup> and 2<sup>nd</sup> years of certification period to ensure that it has compliance with product regulation. Halal Affairs shall prepare Surveillance Audit plan. The Halal Affairs department shall conduct the following topics:

- 1) Intrnal Audit activity and management review.
- 2) Review corrective and preventive action for non-conformity found in the last audit.
- 3) Relevant products complaints management.
- 4) The effectiveness of the management system in achieving the entrepreneurs'/ certified person objectives.
- 5) The progress of continuous improvement activities as planned.

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- 6) Control of implementation in accordance with standard requirements and relevant criteria.
- 7) Verificaiton of customer compliance with amended requirements and shall implement in accordance with UAE.S GSO and GCC Approval Halal Standard or standards of other countries related.
- 8) Use and/or reference to system certification marks, certification marks, certificates, and formal authorization documents use of the Halal certification mark and/or approval by the Halal Affairs department.

6.2 The certified person shall retain and follow procedures of the place of business to be in line with Halal standard of UAE.S GSO, GCC Approval Halal Standard of other countries related. Failure to do so shall result in reduction of certification scope or revoking of certification.

6.3 The Surveillance Audit shall be done at least once a year starting from the last day of the Stage 2 Audit. Every item in the Halal standard of UAE.S GSO, GCC Approval Halal Standard or standards of other countries related shall be covered before the audit term completes to renew the certification.

6.4 The Surveillance Audit activities shall include inspection at the place of business to evaluate if the certified system is in line with Halal standard of UAE.S GSO, GCC Approval Halal Standard or standards of other countries related. Moreover, it includes other Surveillance Audits such as

- (1) Inquiry to the certified person about problems with certification.
- (2) Reviewing of public relations of the certified person's statement done such as brochures, website, etc.
- (3) Requesting documents and records from the certified person.
- (4) Other methods to monior competency of the certified person.

In case there is sampling and analysis as part of the audit and certification. The entrepernue is responsible for the cost of conducting laboratory analysis. This is based on

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the choice of analytical laboratory services registered according to the announcement of the Halal Affairs Department.

6.5 The Surveillance Audit, which is planned at least once a year, may be adjusted to be more frequent or more surveillance audit through entire system without prior notice in these following cases.


- (1) There is a reason to doubt that the competency is lowered.
- (2) After analyzing complaints or data, it is found that the certified person does not follow the Islamic law or regulations of Halal Affairs, the Central Islamic Council of Thailand,
- (3) Result of the previous audit is not sufficient in some parts.

In case there is sampling and analysis as part of the audit and certification. The entrepreneur is responsible for the cost of conducting laboratory analysis. This is based on the choice of analytical laboratory services registered according to the announcement of the Halal Affairs Department.

6.6 Halal Affairs reserves the right to conduct special audit with short notice for a certified person in necessary cases as follows:

- (1) It is necessary to have investigation due to complaint about the certified person.
- (2) There is review or follow up of changes in major part of any management system certified by Halal Affairs such as change in legal and commercial status of organization or owner, management, name and location of the place of business, certified scope and major changes to the management system and process.
- (3) When getting to warn from trading partner country or relevant government agency about possible problems with consumers.
- (4) In case of having a resolution from the committee, Committee for Sefeguarding Impartiality and the Sub-Committee to have additional audit.

In case there is sampling and analysis as part of the audit and certification. The entrepreneur is responsible for the cost of conducting laboratory analysis. This is based on


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the choice of analytical laboratory services registered according to the announcement of the Halal Affairs Department.

6.7 The Surveillance Audit in the plan shall be allowed to follow procedures of the Stage 2 Audit. If non-conformity is found, the certified person shall present corrective and preventive actions for the non-conformity to Halal Affairs to consider within 15 days starting from the day of issuing the non-conformity report. If the actions are acceptable, Halal Affairs shall present them to the Sub-Committee to acknowledge and retain the certification (in case of minor non-conformity) or make an appointment with the certified person to do Surveillance Audit for correcting the non-conformity (in case of major non-conformity). If the certified person fails to do it within 60 days starting from the day of issuing the non-conformity report, Halal Affairs may give a warning and/or present to the Sub-Committee to consider revoking the certification as a case may be. If the audited person has any necessity or emergency that is unavoidable, Halal Affairs shall consider appropriate action and/or present to the Sub-Committee to acknowledge or consider as a case may be.

For Surveillance Audit of major non-conformity, the Halal Auditor Team shall audit only the non-conformity but may do random check with any relevant units. The Surveillance Audit shall be done with every item of major and minor non-conformity. If the Surveillance Audit finds that the certified person has effectively done the corrective and preventive actions, Halal Affairs shall present to the Sub-Committee to consider and retain the certification.

If the Surveillance Audit finds that the certified person has not have effective corrective action for the non-conformity, the Halal Auditor Team shall adjust severity level from minor to major non-conformity or in case the corrective action is effective but there is non-compliance with other standards, the Halal Auditor Team shall prepare list of additional non-conformity categorizing level according to non-conformity level criteria. For major non-conformity, the corrective action and the Surveillance Audit must be done within 30 days starting from the day of issuing the major non-conformity report for the first time of such Surveillance Audit of the management system. If it is found that the corrective and

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preventive actions are effective, Halal Affairs shall present to the Sub-Committee to consider and retain the certification. If the action cannot be done within the due date, Halal Affairs may give a warning and/or present to the Sub-Committee to revoke the certification as a case may be. If the additionally found non-conformity is minor, Halal Affairs shall present to the the Sub-Committee to consider and retain the certification after the Halal Auditor Team considers the corrective and preventive actions proposed by the applicant to be acceptable.

Note: Entrepreneurs who do not wish for a Surveillance or Recertification Audit, and then change the decision to request the audit within a valid certification period, an authorized person of the establishment must submit the official request for the audit with the reasons to the Halal Affairs Officer to be presented to the Committee for consideration on a case-by-case basis.

## 7. Re-certification Audit

7.1 Before the validity period is complete, Halal Affairs shall conduct an audit for the entire system to re-certification for the certified person who shall submit the re-certification application not less than 6 months before expiry date of the Certificate and Halal Certification Logo.

Note: Entrepreneurs who do not wish for a Surveillance or Recertification Audit, and then change the decision to request the audit within a valid certification period, an authorized person of the establishment must submit the official request for the audit with the reasons to the Halal Affairs Officer to be presented to the Committee for consideration on a case-by-case basis.

When the certified person files re-certification application and pays fee, Halal Affairs shall make an appointment to do audit for the entire system before the expiry date of the Certificate and Halal Certification Mark Permit. Procedures for the re-certification audit shall be the same as the Stage 1 Audit except for the details in No. 5.3 of the Stage 1 Audit. There shall be competency review of the system management of the certified person during the

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period of certification and review of Surveillance Audit report for the previous certification period and inspect to use of Accreditation Symbol/ Certification Logo and Halal certificate.


In case the certified person has any significant change such as change in production process, Halal Affairs may consider conducting the Stage 1 Audit by evaluating documents and/or doing initial inspection which shall be considered by Halal Affairs on a case-by-case basis.

Halal Affairs shall define effective date of the certification after the expiry date of the old certificate only in case that re-certification audit is made before the certificate expires and the corrective and preventive actions for non-conformity have been effectively done before the expiry of the certificate (in case major non-conformity is found) or the certified person has prepared the corrective and preventive actions for non-conformity and submit to Halal Affairs to consider that they are acceptable before the expiry of the certificate (in case minor non-conformity is found). If the certified person cannot arrange the audit to re-certify according to the above regulations, it is considered that the audit shall be like for the first time and the effective date shall be the date the Sub-committee resolves to grant the certification.

In case there is sampling and analysis as part of the audit and certification. The entrepreneur is responsible for the cost of conducting laboratory analysis. This is based on the choice of analytical laboratory services registered according to the announcement of the Halal Affairs Department.

## 8. Certification

8.1 Halal Affairs certifies slaughterhouse, eviscerated parts and Halal product production according to Halal standard of UAE.S GSO, GCC Approval Halal Standard or Standard/Legal/Regulations/Documents of Trading Countries, Halal standards of other countries related Standard/Legal and Announcement of the Central Islamic Council of Thailand No. 12 (BE. 2568) on Regulation on Halal Certification for Slaughterhouse and Eviscerated parts of BE. 2568 and Announcement of the Central Islamic Council of

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Thailand No. 10 of (BE. 2568) on Regulations on Certification of Halal Product Production Process of BE. 2568.

8.2 After the documents are screened by the Screening Committee, the Sub-Committee shall consider the following

- Reports on Audit Stage 1 and Stage 2.
- Comments on the identified non-conformity and the corrective actions.
- Verification of application information
- Recommendations of the Halal Audit Team and remarks.

8.3 When the Sub-Committee has evaluated that Certified person has compliance with Criterias and Regulations accordance with No. 8.1, the Sub-Committee approved, the Halal Affairs shall issue the Certificate and Halal Certification Logo which are effective on the day the Sub-Committee approves the certification. Certificate and Halal Certification Logo's validity for 3 years and cannot be transferred to other people. The certified person can request to use the Halal Certification Logo as defined by Regulations of the Central Islamic Council of Thailand on Halal Affairs Management of BE. 2568.

Remark: The use of the certification mark shall be in accordance with the conditions of the accreditation bodies of each country.

8.4 In case of change in certification scope, the change must be notified to Halal Affairs. If it does not affect Halal standard compliance (such as reduction in certification scope), Halal Affairs shall present it to the Sub-Committee to consider. When it is approved, Halal Affairs shall issue a new Certificate and Halal Certification Logo having validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs. If there are impacts on compliance with Halal standard (such as expansion of certification scope), Halal Affairs shall additionally inspect the expanded scope in the same manner as the Stage 2 Audit as in No. 5.4 or shall inspect it with the Surveillance Audit before presenting to the Sub-Committee to consider approving the expansion of certification scope. Halal Affairs shall issue a new Certificate

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and Halal Certification Logo having validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs.

For transfer of business and change in place of business location or production site, the certified person shall notify it to Halal Affairs in writing to inspect and present to the Sub-Committee to consider granting certification and terminating the old Certificate and Halal Certification Logo. Once decertified, Reference to credentials is not allowed. It is important to use the Halal Certification Mark as well as the Halal Certification Mark until notified by the Halal Affairs Department to consider conducting a new audit and issue a new certificate. The new Certificate and Halal Certification Logo have validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs.

- 8.5 Urgent Certification: In case of a request for certification decision in urgent case or before the scheduled subcommittee meeting. The certification decision will be made by a committee appointed by the Central Islamic Commission of Thailand on a case-by-case basis, which will determine the results of product inspection by auditors with no major nonconformity and the ground reasons from the notice requesting for the audit as the basis for issuing the certificate. New certificates and the authorization to use the halal certification mark will be issued to replace the old one with the same validity as the remaining original certificate. Certified clients must return the old certificates and the authorization to use the halal certification mark to the Halal Affairs Department, which may charge fees or fines in accordance with current and future Halal Affairs Rules and Notices. Halal Audits will be conducted when the certification period has not yet expired.
- 8.6 The Halal Affairs department shall compile the information about the Certificate, Certified Person, Sellers, consisting with certified products information, standard and/or mandatory reference documents when there are files from applicants.
- 8.7 The Halal Affairs department shall notify the applicant when the files is considered not given certification and inform the allpicant of the reasons for such dication. However, the

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certification can be suspended or revoked if the Halal Affairs found violating Halal standard or GCC Halal Approval Halal Standard.

## 9. Conditions for the Certified Person

The certified person shall follow the following conditions:

- 9.1 The certified person shall retain standard of Halal Standard of UAE.S GSO or GCC Approval Halal Standard and/or standard rules, regulations, requirements related standards of other countries during the period of certification. In case certified for continuously produce, the certified products shall ongoing compliance with production requirements.
- 9.2 The certified person can display the certification logo on the product. By referring to the certification only in the scope of certification, the certification logo shall be in accordance with the Announcement of the Central Islamic Council of Thailand No. 11 (B.E. 2568) regarding the requirements for terms requesting the use of Halal certification logo and the use of Halal certificate logo on the product and packaging.
- 9.3 Certified person may reference the product certification in any form of media, such as documents, brochures, advertisements, or the publicly dissemination, only within the approved scope of certification, in case any improper conducting the certified person shall correctively action. Failures to do so the Halal Affairs department shall revoke the certification and publicly disseminate of the revoked, and legal action if necessary.
- 9.4 The certified person shall not use the Accreditation Symbol refer on the packages. If certified person desires to make photocopies for other parties, he shall copy all the certification documents. Do not copy part or translate or modify any text and notify the Halal Affairs department when making a copy.
- 9.5 The certified person shall not use the certificate, certification mark, accreditation mark in test report, or any document that may cause misunderstanding or damage the Halal Affairs. Furthermore, shall not reference or imply product certification in any form that the Halal Affairs consider misleading or out of approved scope certified.
- 9.6 The certified person must have traceability system which identify information as follows: date and place of production, expiration date, allergens, net weight, product brand,

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laboratory analysis results (if any), certificate and number of certificates, importer, label, and product code.

- 9.7 When there is reduction, revoking or termination of certification due to any reason, printed media, advertisement media and reference to the certification and/or certification within the certification scope shall be stopped.
- 9.8 The applicant shall consent accreditation body to evaluate and surveillance audit including document collection and record for inspection. The certified person shall provide access to all relevant equipment, facilities, premises, personnel, contract, procurement, and employment.
- 9.9 The applicant shall consent to the accreditation body to participate as an observer during the Halal Affairs' audit conducted at the applicant's premises, within the scope for which Halal Affairs is seeking accreditation or has already been accredited. Such observation may take place at any time when selected by Halal Affairs and the accreditation body.
- 9.10 In case there is sampling and analysis as part of the audit and certification. The entrepreneur is responsible for the cost of conducting laboratory analysis. This is based on the choice of analytical laboratory services registered according to the announcement of the Halal Affairs Department.
- 9.11 If there is any change in production scope, process in essential part, the certified person shall immediately file request for change to Halal Affairs so that it considers doing further action. If Halal Affairs considers that it is changing in essential part, it may set up additional audit and present the result for consideration. Halal Affairs shall issue a new Certificate and Halal Certification Mark Permit having validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs before getting the new ones. If the change affects quality and safety of products or causes risks in contamination, Halal Affairs may consider ordering such certified person to stop selling, distributing or giving away such products until inspection results show that the change creates no impact on production process and products.

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9.12 In case accreditation body has changed any issues relevant to certification and/or scope that the certified person has already certified, the certified person shall carry out the changes into an appropriate way since got notified.

9.13 In case of transferring business or change of owner, Top Management, Key personnel of the organization the certified person must file notice of change to Halal Affairs. Halal Affairs reserves the right to consider it on case by case basis.

9.14 In case of any changes that impact conformity such as changes in organizational status, legal, commercial, or ownership status, organizational structure and management such as senior executives, key decision-makers, or essential technical personnel, change product or process, contact, address and manufacturing location or any other essential changes to the quality management system, the certified person must file request to Halal Affairs in writing. Halal Affairs shall consider issuing a new Certificate and Halal Certification Logo having validity period equal to remaining period of the old Certificate and Halal Certification Mark Permit. The certified person shall return the old ones to Halal Affairs.


9.15 The certified person shall give cooperation to Halal Affairs in every audit by consenting Halal Auditor to inspect the place of business and do the random check of sample in case there is any doubt.

9.16 The certified person shall record continuously in the record form in the Quality Management System for the scope to be certified so that the Halal Auditor can check if it complies with the standard.

9.17 The certified person shall hand over updated documents relating to the certification to Halal Affairs upon request.

9.18 If desired to request termination of certification, he shall inform Halal Affairs in writing in advance using Certificate and Halal Certification Logo termination Request form of Halal Affairs.

9.19 If desired to renew the Certificate and Halal Certification Logo, he shall file a request to Halal Affairs at least 6 months in advance before expiry date of the Certificate and Halal

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Certification Logo. For renewal case, it is not necessary to return the existing Certificate and Halal Certification Logo to Halal Affairs.

9.20 If there are complaints about certified products and/or find non-conformity in products related to the certificate regulation, the certified person shall carry out the appropriate measures, compile and documentize and keep record of complaints received from external parties and actions with the complaints relating to the certified scope and hand over the record to Halal Affairs upon request. In case the complaints are not in writing, the certified person shall inform the Halal Auditor in the audit. If the certified person conceals the truth about complaints and Halal Affairs learns about it later, the Certificate and Halal Certification Mark Permit may be revoked case-by-case.

## 10. Expansion/Reduction of Certification Scope

### 10.1 Expansion of Certification Scope

A certified person who desires to expand the scope such as increase in production area after being certified shall inform Halal Affairs in writing. Halal Affairs shall inspect and summarize a report presented to the Sub-Committee to consider expansion of the certification scope. If the Sub-Committee resolves to expand it, Halal Affairs shall issue a new Certificate and Halal Certification Mark Permit having validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs.

### 10.2 Reduction of Certification Scope

In case of a certified person who cannot retain some parts of the certified system such as reducing production area after being certified or the certified person informs the request to reduce certification scope in writing, Halal Affairs shall present it to the Sub-Committee to consider reduction of the certification scope to issue a new Certificate and Halal Certification Logo having validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs.

## 11. Changing of Certified Details

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A certified person who desires to change details of certification such as name or surname of the certified person or name of juristic person with the same registered commercial number and product name with the same address must inform this in writing enclosing proof of change. Then Halal Affairs shall present it to the Sub-Committee to consider granting the certification of the changed details. When the Sub-Committee resolves to change the certified details, Halal Affairs shall issue a new Certificate and Halal Certification Logo having validity period equal to remaining period of the old Certificate and Halal Certification Logo. The certified person shall return the old ones to Halal Affairs.

## 12. Certification Termination and Revoking


### 12.1 Certification Termination

Halal Certification shall be terminated when it is found that the certified person has done this or these following action(s).

- (1) The certified person is dead or there is requested to change name of the certified person who is not husband/wife or child who jointly produces the product.
- (2) The certified person becomes bankrupt.
- (3) The certified person requests the termination in writing.
- (4) There is change in the certification standard which the certified person cannot follow.
- (5) A number of non-conformities are found from other Surveillance Audits or any activity but there is no corrective action.

### 12.2 Certification Revoking

Halal Certification shall be revoked if it is found that the certified person has done this or these following action(s).

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- (1) Failure to follow regulations and conditions defined by Committee for Safeguarding Impartiality or regulations, announcement or rules defined by the Board/Halal Affairs which seriously affects the certification.
- (2) Fail to comply with Halal standard or violate Regulation of Islam.
- (3) There is complaint considered to seriously affect the certification.
- (4) The certified person has used the Certificate and Halal Certification Logo and Certification Permit to create misunderstanding which damages the certification body or forged such Certificate and Halal Certification Logo and Certification Permit.
- (5) Cancellation of operation for certified activities/scope of certified and not notifying the Halal Affairs department to cancel certification or the Halal Affairs department unable to contact the certified person to proceed with the rules, criteria, and conditions set by the Halal Affairs department or not paying fees or other expenses involved with an audit or certification.
- (6) Not be allowed the Halal Affairs department to follow up or verify for renewal certificate at the specified frequency without a reasonable reason and without informing the Halal Affairs Department
- (7) The laboratory analysis test result found the contamination of prohibited substance by Islam law and also this includes the analysis result obtained from Department of Livestock Development.
- (8) Other actions which Halal Affairs consider damaging the certification system.

Halal Affairs shall propose to the Sub-Committee to revoke the certification, and the certified person shall return the Certificate and Halal Certification Logo to Halal Affairs. The right in using the Certificate, Halal Certification Logo, Certification Logo and Accreditation Symbol of the certified person shall terminate immediately so they must be abstained from being used or referred on any advertisement media or published media such as leaflet, brochure and advertisement board. Halal Affairs shall announce list of the revoked person to the public.

### 13. Appeal and Complaint


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### 13.1 Appeal

- (1) If an applicant granted certification having qualification as in No. 3 or the certified person does not agree with any resolution or measurement made by the Committee for Sefeguarding Impartiality /the Sub-Committee (Decision Making) At least 1 Islamic Affairs Expert has decided unanimously, if has any problem in such solution or measurement and desires that the Committee for Sefeguarding Impartiality /the Sub-Committee reviews, he can file appeal within 30 working days starting from the day of issuing a notice or he officially knows the notice. The appeal must be in writing and submitted to Halal Affairs. If sent by mail, the mail must be registered and the stamp date on the envelope shall be considered.
- (2) Appeal Committee shall 3 members will be selected from the committee for impartiality at least 1 of them must be an Islamic expert. The term will be 3 years, Furthermore the appeal shall not be related to any complaints or appeals for impartiality.
- (3) Appeal Committee shall gather and review all necessary information to contribute to decision-making. During the period the appeal is not final, it shall be considered that the existing resolution is taking effect. However, the approach to responding to appeal shall be based on consideration of outcomes from similar previous appeals, to prevent any form of discrimination.
- (4) The Halal Affairs department shall notify the certified person periodically and inform the resolution within 60 days starting from the day of receiving the appeal. Resolution of the committee shall be final.
- (5) In case the appeal is justified, the Halal Affairs department shall undertake the necessary measures subsequently to rectify the appeals.

### 13.2 Complaint

Complaints must be submitted in writing or by phone, which can be traced or verified accurately or source of information which is exclusive to verbal complaint without

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source reference. If the topic of complaint is about certified person and quality of product, the complaint must be made in writing backed up with sufficient evidence in order that relevant officer can take further action. The Halal Affairs will be selected 3 members from the committee for impartiality at least 1 of them must be an Islamic expert. The term will be 3 years.


After Halal Affairs has been received the complaints shall notify the certified person periodically and inform the resolution within 60 days starting from the day of receiving the appeal. Resolution of the committee shall be final. In case the appeal is justified, the Halal Affairs department shall undertake the necessary measures subsequently to rectify the appeals, If the topic of complaint is about certified person, certified person shall compensate for damage caused in accordance with contractual requirements. However, the approach to responding to appeal shall be based on consideration of outcomes from similar previous appeals, to prevent any form of discrimination.

The Halal Affairs department shall hold discussions with the complainant and certified person regarding the necessity of publicly disseminate of the complaints.

#### 14. Confidential

Halal Affairs shall keep data and documents given by the applicant and/or the certified person including other confidential information which Halal Affairs receives from other sources secret but shall not be responsible for any damage arising to the applicant and/or the certified person caused by disclosure of data by another party except for action made by Halal Affairs.

In case of accreditation body with whom Halal Affairs files request for certification or is certified who will have access to secrecy of the applicant and/or the certified person, Halal Affairs shall arrange such accreditation body to do the same as Halal Affairs to keep secret information of the applicant and/or the certified person safe.

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Information Halal Affairs can reveal is data in Certified Person List, Revoked and Cancelled Person List. Disclosure of information may be done in case there is possible danger to consumers or in case of disclosed data according to Official Information Act of BE. 2540 or in case of receiving written consent from the applicant and/or the certified person or in case it is disclosure of information to relevant government agencies.

#### Annexation A

In the case of the certified person is affected by an unusual circumstance or event or unable to permit the Halal Affairs Office to inspect at the establishment, the following actions will be performed.

- Follow-up Assessment

The certified person must send a written notice about the reasons to postpone the follow-up assessment to the Halal Affairs Office. The Halal Affairs Office will make consideration and assess the risks of the continued certification in order to consider the expansion of the period of the follow-up assessment for not more than 6 months ( i.e. not more than 18 months from the first certification in case of the first follow-up assessment and not more than 18 months from the end of the latest follow-up assessment in case of any of the subsequent follow-up assessments).

In the event that the certified person shuts down the operation due to an unusual circumstances or event that can be assessed for a period of not more than 6 months, the certified person must send a letter notifying the reasons for the need to postpone the assessment to the Halal Affairs Office. The Halal Affairs Office will make consideration and will evaluate the risks of the continued certification before expanding the follow-up

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assessment period until the certified person can resume its operation. After that the certified person must make notification for the Halal Affairs Office to make an immediate follow-up assessment.

At the end of the time period the Halal Affairs extends, if the certified person is unable to let Halal Affairs Office conduct the follow-up audit within the specified period of time, the Halal Affairs Office will submit the matter to the Subcommittee to make consideration in pursuance of the certification rules and conditions so as to revoke the certification further.

The Halal Affairs Office will adjust the assessment/audit program for any of the subsequent follow-up assessments as per the certification rules and conditions and will make such notification to the certified person.

- Audit / Assessment for Certification Renewal

If the certified person is affected by an unusual situation or event that cannot permit the Halal Affairs Office to make a new assessment at the establishment, the certified person must send a notice letter about the reasons for the assessment postponement to the Halal Affairs Office. The Halal Affairs Office will take those reasons into consideration and assess the risks of the continued certification before considering the expansion of the certification period for not more than 6 months from the expiration of the certificate. (The effective period of the new certificate for 3 years will continue from that of the old one.) Upon the end of the time period expansion, if the certified person cannot yet permit the Halal Affairs Office to make the new assessment within the time specified, the Halal Affairs Office will carry out the assessment for the first certification, for which the certificate will be effective for 3 years from the certification granting date. Nonetheless, in the 1st stage of the assessment for the said 1st certification, the Halal Affairs Office will conduct the audit/assessment at the establishment or make evaluation of the documents / review of the performance of the certified person upon the case-by-case consideration basis.

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### Appendix B

#### Certification Scheme

according to FAD 12.0 and UAE.S 2055-1, GSO 2055-1

Category		Sub category		Example of Included activities	FAD 12.0
C	Food Manufacturing	CI	Processing of perishable animal products	Production of animal products including fish and seafood, meat, eggs, dairy, processed or cutting products, packaging, and ice cream that contain dairy.	C
		CII	Processing of perishable plant products	Production of plants products including fruits and fresh juices, vegetables, grains, nut, pulses, and ice cream that contain plants.	D
		CIII	Processing of perishable animal and plant products (mixed products)	Production of mixed animal and plant products including pizza, lasagna, sandwich, dumpling, ready-to-eat meals, and ice cream that contain dairy and plants.	-
		CIV	Processing of ambient stable products	Canned Products, cookies, snacks, oil, drinking water, beverage, sugar, salt, wheat flour and pasta	E

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		CV	Animal slaughtering	Processing of carcasses including slaughtering in slaughterhouses, cutting, cleaning and packing	C
K	Production of (Bio) Chemicals			Microbiology, Production of food and feed additives, vitamins, minerals, bio-cultures, flavouring, enzymes and food processing aids, Pesticides, drugs, fertilizers, cleaning agents, cosmetics, textiles, leather products, ... etc	L

### Appendix C

#### Risk level in Halal certification

The Halal Affairs Department will consider the risk level into 4 levels according to the raw materials used in the production/product/service. The level of risk will be taken into account in planning the audit and creating the audit schedule.

Halal Certification Risk Level Table

Risk level	Defination	Type of Production
Very High	It comprises numerous highly detailed sub-processes of considerable significance (typically applicable to manufacturing or processing organizations with substantial non-halal risk). It encompasses products that may present a high level of halal-related risk, with extensive variations in processes and sub-processes, as well as a large number of raw materials or input materials involved	<ul style="list-style-type: none"> <li>● Processed Chicken Products</li> <li>● GMO Products</li> <li>● Food Additive</li> <li>● Bio-cultures</li> <li>● Food processing aids</li> <li>● Enzymes</li> </ul>
High	It involves a large number of significant processes (typically applicable to manufacturing or processing organizations with substantial non-halal risk), encompassing products and service sectors that may	<ul style="list-style-type: none"> <li>● Poultry slaughtering: Including cutting and packing.</li> </ul>

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	pose a high level of halal-related risk, and consists of multiple operational processes	<ul style="list-style-type: none"> <li>● Cheese</li> <li>● Biscuit</li> <li>● Snacks</li> <li>● Oil</li> <li>● Beverage</li> <li>● Suppliments</li> </ul>
Medium	It involves an average number of significant processes (typically applicable to manufacturing or service organizations), covering products and services with a moderate level of non-halal risk	<ul style="list-style-type: none"> <li>● Dairy Products</li> <li>● Fish Products</li> <li>● Eggs Products</li> <li>● Spices Products</li> <li>● Processed Vegetables</li> <li>● Preserved Vegetables and Fruits</li> <li>● Canned Products</li> <li>● Pasta</li> <li>● Sugar</li> </ul>
Low	It involves a small number of significant processes (typically applicable to organizations with limited critical activities) and covers products and services that present a low level of non-halal risk.	<ul style="list-style-type: none"> <li>● Fish</li> <li>● Eggs</li> <li>● Dairy</li> <li>● Freash Vegetables</li> <li>● Fresh Fruits</li> <li>● Grains</li> <li>● Fresh Fruits and Fresh Juice</li> <li>● Ice</li> <li>● Flour</li> <li>● Salt</li> </ul>

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Translation

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Appendix D

GAC System Certification Symbol/Mark

Use of "GAC Accredited" Symbol

1. GAC Accredited" Symbol on Halal Certificate



Figure 1 Placement of "GAC Accredited" Symbol and CAB Logo

With the following conditions:

- 1) The "GAC Accredited" symbol must not be more prominent than the CAB logo and may be placed alongside the CAB logo.
- 2) The placement must be in an appropriate position, either at the header or footer of the paper.
- 3) The text on the "GAC Accredited" symbol must be clear and legible
- 4) Color codes are specified in Figure 2

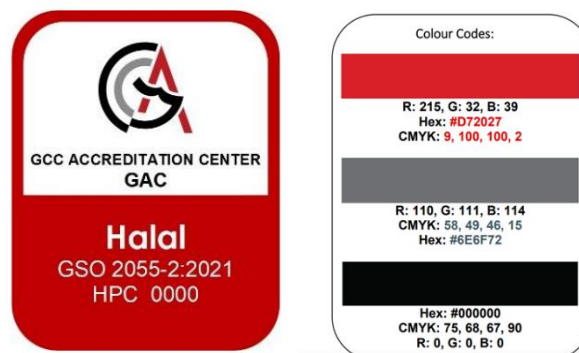


Figure 2 "GAC Accredited" Color Codes

- 5) The use of "GAC Accredited" symbol on products is not permitted under any circumstances